



NHTSA

PG Message Set - Briefing for the March 2015
ACE Software Developers Session

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- Identifies NHTSA requirements for vehicles and equipment items
- NHTSA has developed a manual to assist the Trade and CBP programmers to understand agency requirements
- The manual distills the ACE ABI CATAIR into those data elements required by NHTSA
- Each Record Identifier is explained along with the coding from the PGA Appendix
- Provides examples for each HS-7 Box

PGA Message Set



PGA Message Set for Shipments Subject to NHTSA Regulations

ACE ABI CATAIR

January 27, 2015

NHTSA Manual

- A Collaborative Work Product
- NHTSA
- CBP Support Staff
- Input from Trade Representatives
 - Importers
 - Customs Brokers
 - Software Developers

NHTSA Manual

- The Manual Sections
 - Executive Summary
 - Background Information
 - CATAIR Record Identifiers applicable to NHTSA with Notes
 - HS-7 Form Annotated with Message Set References
 - Samples for Each Box on the HS-7
 - Contacts
 - Glossary of Terms
 - Appendix (NHTSA authorities)
 - Change Table

NHTSA Manual

PG01-Record Identifier (Input)

This is a mandatory PGA input record that provides data pertaining to the PGA Line Number, Agency Code, Globally Unique Product Identification Code, Intended Use Code, Intended Use Description, and Disclaimer.

Record Identifier PG01 (Input)					
Data Element	Length /Class	Position	Status	Description	Note
Government Agency Code	3AN	8-10	M	Code that identifies the PGA. Refer to Appendix V Government Agency Codes for ACE, for valid codes.	2

Note 2: "NHT" is the code for shipments subject to NHTSA regulations

Record Identifier PG01 (Input)					
Data Element	Length /Class	Position	Status	Description	Note
Government Agency Program Code	3X	11-13	M	Code indicating an agency's program for which the PGA data set is related. For example, a code might indicate the data is for EPA's Vehicles and Engines reporting requirements. Refer to Appendix PGA for valid codes.	3

Note 3: The Agency Program Codes for NHTSA use are:

Agency Code	Definition
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MVS	Motor vehicles are defined as vehicles that are driven or drawn by mechanical power and manufactured primarily for use on public streets, roads, or highways
REI	Regulated motor vehicle equipment items that are subject to the Federal motor vehicle safety standards (FMVSS)
TPE	Replacement motor vehicle equipment items that are subject to the Federal motor vehicle theft prevention standard (FMVTPS)
OEI	Other motor vehicle equipment items that are not subject to the FMVSS or FMVTPS
OFF	The <u>vehicle</u> was not manufactured primarily for use on the public roads and thus is not a motor vehicle subject to the Federal motor vehicle safety, bumper, and theft prevention standards or the equipment item is not a system, part, or component of a motor vehicle

Note: The codes for NHTSA use are:

Code	Description	Definition
NH0	Registered Importer Number Note: Use only for Box 3 and Box 13 entries	Number assigned by NHTSA to a person or business entity specially registered with NHTSA as an importer of nonconforming motor vehicles pursuant to 49 CFR Part 592. Formatted as A-NN-NNN.
NH2	NHTSA Import Permission Letter Note: Use only for Box 7, 10 and 13 entries	A letter issued by NHTSA permitting a nonconforming vehicle to be imported pursuant to 49 CFR 591.5(j) or 591(i). Formatted as NN-NNNN-NNNN.
NH3	Vehicle Eligibility Number Note: Use only for Box 3 entries	NHTSA-assigned number to identify a vehicle that is not certified to the Federal motor vehicle safety standards as being eligible for importation by a registered importer under a DOT conformance bond pursuant to 49 CFR Part 591.5(f). Formatted as AAA-NNN.

P G 1 4 2 N H 0 R - 9 0 - 0 0 7

P G 1 4 1 N H 2 0 7 - 1 4 0 1 - 0 0 0 1 3 1 1 2 3 2 0 1 4 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 N O

P G 1 4 2 N H 3 V S A - 0 3 9

PG14 Record With Examples

[illegible]

PG Record	Description
OI	A Ferrari Vehicle is imported by a person who has a contract with a Registered Importer
PG01	NHTSA [NHT] regulates the importation of the motor vehicle [MVS]; an electronic document and the “HS-474 DOT Conformance Bond” and Contract with an RI have been submitted [Y]
PG02	The imported item is a product [P]
PG07	The brand name of the motor vehicle is [Ferrari]; the model is [Testarossa]; the motor vehicle was manufactured [June 1989]; and the Vehicle Identification Number [AKG] is [ZFFVA40B00000000]
PG10	The motor vehicle [MVSTYP] is a passenger car [MVS1] and the model year [V06] is [1989]
PG10	The motor vehicle [MVSTYP] is a passenger car [MVS1] with left-hand drive [V01] [L]
PG14	The Registered Importer has a continuous use LPCO [2]; the Registered Importer number [NH0] is given [R-90-007]
PG14	The Vehicle Eligibility Number [NH3] is a continuous use LPCO [2] and is given [VSA-039]
PG19	The owner [DFP] is [John Doe] and the partial address is given
PG20	The owner’s remaining address is given
PG21	The owner’s [DFP] phone number and email address are given
PG22	The owner has a copy of the document [Y]; the document is the HS-7 [946]; and the owner [DFP] has made the declaration on the HS-7 and checked Box [3]. The owner certifies that the data or the signature is on file [Y] and it was signed on [May 17, 2011]
PG22	The owner is certifying that he has a copy of a contract [Y] with the Registered Importer [873]. The owner [DFP] certifies that the data or the signature is on file [Y] and that the contract was signed on [May 17, 2011]
PG22	The owner is certifying [Y] that he has a copy of a DOT Conformance Bond [165]. The owner [DFP] certifies that the data or the signature is on file [Y] and that the bond was issued on [May 17, 2011]
PG35	The surety code for the company underwriting the conformance bond is given [421]; the bond number [011-123456] is given; it is a single entry bond [1]; and the value of the bond is [\$1,250,000]

Box 3 Declaration Sample

GLOSSARY

Glossary of Base Terms	
Certification label or tag	A item made of metal or paper material that contains information among other things regarding a vehicle's compliance with certain standards issued by NHTSA
Date of manufacture of a vehicle	The month and year during which work on the motor vehicle was completed at the place of main assembly
DOT Conformance Bond Amount	A DOT bond that covers one motor vehicle in an amount equal to 150% of the dutiable value of the vehicle
DOT Conformance Bond HS-474	A bond in the amount equal to 150% of the dutiable value of the vehicle for the conformance of the vehicle with all applicable Federal motor vehicle safety and bumper standards, or, if conformance is not achieved, for the delivery of such vehicle to the Secretary of Homeland Security for export at no cost to the United States, or for its abandonment.
DOT Conformance Bond Serial Number	Serial number issued by a surety to a DOT conformance bond
Drive side	Vehicles are usually manufactured in either left-hand drive (LHD) or right-hand drive (RHD) configuration, referring to the placement of the driver's designated seating position and the driver's controls within the vehicle. Most vehicles manufactured for sale in the United States are left-hand drive in contrast to most vehicles that are manufactured for the United Kingdom, Japan and Australia, which are right-hand drive
Federal motor vehicle safety standards (FMVSS)	Standards that are applicable to motor vehicles and motor vehicle equipment that are prescribed by the Secretary of Transportation. Each standard must be practicable, meet the need for motor vehicle safety, and be stated in objective terms. A minimum standard for motor vehicle or motor vehicle equipment performance
Federal motor vehicle theft prevention standard (FMVTPS)	A minimum performance standard for identifying major parts of new motor vehicles and major replacement parts by inscribing or affixing numbers or symbols on those parts
Glazing	Glass and plastics used for motor vehicles
Glazing Code	Identification number that NHTSA assigns to a glazing manufacturer. Formatted NN or NNN or NNNN
HS-7 Declaration form	US DOT/NHTSA form used to declare the lawful basis for the importation of a motor vehicle or equipment item
Importer Substantiating Statement	The Original Vehicle Manufacturer's statement describing the use to be made of the vehicle or equipment item and states the intended means of final disposition (and disposition date) of the vehicle or equipment item after completion of the purpose for which it is imported. If use on the public roads is an integral part of the purpose for which the vehicle or equipment item is imported, the statement shall describe the purpose which makes such use necessary and

Glossary

Table of Changes



Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
1	October 15, 2014	PGA Message Set Samples - HS-7 Box 5 (Vehicle) declared	The PGA Message Set (July 24, 2014 version) shows that the PG34 Record is a "child" of the "adult" PG21 Record. PG34 was moved to follow the PG21 Record and is now followed by the PG22 Record.
2	October 15, 2014	CATAIR PG19 Record – Typo corrected under "Certifying Individual" Note 25 Table	Corrected to read: A certifying individual is mandatory for all HS-7 declarations (Code 946) unless the vehicle owner, importer, or other identified entity certifies.
3	November 6, 2014	PGA Message Set Samples - HS-7 Box 3 (Vehicle) declared	The Box 3 Sample, PG10 Record (2 nd) was corrected to show NHTSA clarification code MVS instead of NH3.
4	January 27, 2015	PGA Message Set Samples - HS-7 Box 7 (vehicle by original vehicle manufacturer) declared	The requirement for an electronic document (DIS Image of the "Importer's Substantiating Statement" to be uploaded has been eliminated.
5	January 27, 2015	PGA Message Set Samples - HS-7 Box 2A (Glazing) declared	Corrected PG19 Record by moving entity name to position 26, where it belongs



Table of Changes

- NHTSA/CBP System Connectivity
- Filers Test Data in Cert Environment
- Business Rules Assessment
- Pilot Planning

Update on NHTSA Progress

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